

6-23-04

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EXPRESS MAIL LABEL NO.: EV 443087725 US

PATENT APPLICATION  
Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop )  
Serial No. 10/646,484 ) Art Unit  
Filed: August 22, 2003 ) 3749  
For: COMPOSITIONS AND DEVICES HAVING A TRAY- )  
LIKE CONFIGURATION FOR DELIVERING AN )  
ORAL MEDICAMENT AND METHODS OF )  
MANUFACTURING SUCH COMPOSITIONS )  
AND DEVICES )  
Examiner: Not yet known )

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: June 22, 2004.

- Supplemental Information Disclosure Statement
- Form PTO-1449 Listing (7) References
- Transmittal Letter (2 copies)
- Postcard

Respectfully submitted,

John M. Guynn  
Attorney for Applicant(s)  
Registration No. 36,153  
Customer No. 022913

JMG:mla

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In re application of

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For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES

Examiner: Not yet known

## TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

Form PTO-1449 list of seven (7) references submitted for consideration.

\* Admitted only in California

† Admitted only in New York

Commissioner of Patents

June 21, 2004

Page 2 of 2

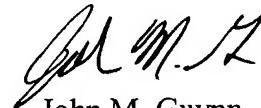
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Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 20<sup>nd</sup> day of June 2004.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
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  ) 3749

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                      MANUFACTURING SUCH COMPOSITIONS )  
                      AND DEVICES    )  
  )

Examiner:         Not yet known    )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Dated this 22<sup>nd</sup> day of June 2004.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

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EXPRESS MAIL LABEL NO.: EV 443087725 US  
Form PTO-1449

Sheet 1 of 2

Applicant: Peter M. Allred, et al.

Att'y Docket No.: 7678.791

Serial No.: 10/646,484

Group: 3749

Filing Date: August 22, 2003

Confirmation No.: 9447

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION  
FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF  
MANUFACTURING SUCH COMPOSITIONS AND DEVICES

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

____ 1	4,900,721	2/13/90	Bansemir
____ 2	5,008,093	04/16/91	Merianos
____ 3	5,108,742	04/28/04	Merianos
____ 4	5,183,901	02/02/93	Login et al.
____ 5	6,080,397	06/27/00	Pfirrmann
____ 6	6,689,344	2/10/04	Chang et al.
____ 7	6,730,316	05/04/2004	Chen

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 443087725 US  
Form PTO-1449



Sheet 2 of 2

Applicant: Peter M. Allred, et al.  
Serial No.: 10/646,484  
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Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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